Abstract—Randomization is a powerful and pervasive strategy for developing efficient and/or practically implementable transmission scheduling algorithms in interference-limited wireless networks. Yet, despite the presence of a variety of earlier works on the design and analysis of particular randomized schedulers, there does not exist an extensive study of the limitations of randomization on the efficient operation of networks. In this work, we fill this gap by proposing a common modeling framework and three functional forms of randomized schedulers that utilize queue-length information to probabilistically schedule non-conflicting transmissions. This framework not only models many existing schedulers operating under time-scale separation assumption as special cases, but they also contain a much wider class of potential schedulers that have not been analyzed.

Our main results are the identification of necessary and sufficient conditions on the network topology and on the functional forms used in the randomization for throughput-optimality. Our analysis reveals an exponential and a sub-exponential class of functions that exhibit differences in the throughput-optimality. Also, we observe the significance of the network’s scheduling diversity for throughput-optimality as measured by the number of maximal schedules each link belongs to. We validate our theoretical results through numerical studies.

I. INTRODUCTION

One of the greatest challenges in the efficient communication in wireless networks is the management of interference amongst simultaneous transmissions. A commonly used model, which we also employ in this paper, to capture such interference effects is through the use of a conflict graph whereby transmissions that will collide with each other are indicated as conflicting. These conflict graphs can represent a variety of interference models of practical importance, including primary interference model (e.g. [22], [10]), secondary interference model (e.g. [3], [4]), or SINR threshold-based interference model (e.g. [12]). Such conflict graphs can take on extremely complex forms, especially with growing network sizes. Thus, a fundamental question in the design of efficient wireless network protocols is the decision of which subset of non-conflicting transmissions to activate, and when - an operation commonly referred to as scheduling.

Of particular interest in the class of scheduling protocols is the set of throughput-optimal scheduling strategies ([26], [17]) that achieves any throughput (subject to network stability) that is achievable by any other scheduling strategy. Thus, throughput-optimal schedulers are critical especially for resource-limited wireless networks as they achieve the largest possible throughput region that is supportable by the network.

The seminal works of Tassiulas and Ephremides [26], [27] and many subsequent works (e.g. [5], [17], [24]; see [6] for an overview) have established the throughput-optimality of a variety of Queue-Length-Based (QLB) Scheduling strategies, which prioritize activation of links with the greatest backlog awaiting service, also called Maximum Weight Scheduling (MWS).

These original throughput-optimal strategies required that the maximum weight schedule should be determined repeatedly as the backlog levels change. This calls for computationally heavy (even NP-hard in certain interference models) and typically centralized operations, which is impractical. Such restrictions motivated new research efforts to develop more practical throughput-optimal schedulers with reduced complexity. One such thread led to the development of a class of evolutionary randomized algorithms (also named pick and compare algorithms) with throughput-optimality characteristics (see [25], [4], [21]). Another thread led to the development of distributed but suboptimal randomized/greedy strategies (see [14], [9], [2]).

More recently, another exciting thread of results have emerged that can guarantee throughput-optimality by cleverly utilizing queue-length information in carrier sense multiple access (CSMA) (see [15], [8], [19], [18]). In paper [8], the authors proposed an algorithm that adaptively selects the CSMA parameters under a time-scale separation assumption, i.e., the Markov Chain underlying the CSMA-based algorithm converges to steady state instantaneously compared with the time-scale of updating parameters of the algorithm. In paper [20], the authors showed the throughput-optimality of CSMA-based algorithm if the link weights are chosen to be of the form $\log \log (q + e)$ (where $q$ is the queue length) without the time-scale separation assumption. Ghaderia and Srikant [7] gave more general results by showing that the throughput-optimality of CSMA-based algorithm is preserved even if the link weights are selected the form $\log (q)/q(q)$, where $g(q)$ can be a function that increases arbitrarily slowly. Yet, to the best of our knowledge, there does not exist a general framework in which a variety of randomized schedulers can be studied in terms of their throughput-optimality characteristics.

Thus, in this work, we aim to fill this gap by developing a common framework for the modeling and analysis of randomized schedulers, and then by establishing necessary and sufficient conditions on the throughput-optimality of a large functional class of schedulers under the time-scale separation assumption. Our framework is built upon the observation that a
common characteristic to most of the developed schedulers is their randomized selection of transmission schedules from the set of all feasible schedules. Specifically, given the existing queue-lengths of the links, each scheduling strategy can be viewed as a particular probability distribution over the set of feasible schedules. While the means with which this random assignment may vary in its distributiveness or complexity, this perspective allows us to model a large set of existing and an even wider set of potential randomized schedulers within a common framework.

This work builds on this original point-of-view to explore the boundaries of randomization in the throughput-optimal operation of wireless networks. Such an investigation is crucial in revealing the necessary and sufficient characteristics of randomized schedulers and the network topologies in which throughput-optimality can be achieved. Hence, these results are expected to help in the development of new randomized schedulers with favorable implementability and/or higher-order performance gains, such as delay or overflow probability.

Next, we list our main contributions along with references to where they appear in the text.

- In Section II, we introduce three functional forms of randomized queue-length-based scheduling strategies that include many existing strategies as special cases (see Definitions 1, 2 and 3). These strategies differ in the manner in which they measure the weight of schedules, and hence are used to model fundamentally different scheduling implementations.

- We categorize the set of all functions used by these strategies into functions of exponential form and of sub-exponential form (see Definition 4), collectively covering almost all functions of interest (e.g. \( \log(x + 1)^\alpha \), \( x^\alpha \) \((\alpha > 0)\) and \( \frac{1}{x^\beta} e^{\alpha x} \) \((\alpha > 0, \beta \geq 0)\)). These two categories capture the steepness of the functions used in the schedulers, and help reveal a critical degree of steepness necessary for throughput-optimality in large networks.

- Then, we find sufficient (in Section IV) and necessary (in Section V) conditions on the topological characteristics of the conflict graph for the throughput-optimality of these schedulers as a function of the class of functions used in their operation. Our results, graphically summarized in Section III, reveal the significance of the network’s scheduling diversity that is measured by the number of schedules each link belongs to.

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- We perform numerical studies (in Section VI) to compare the delay performance of the aforementioned three classes of schedulers under different functional forms. These results allow us to draw new insight on which randomized schedulers are more favorable in terms of delay performance under what type of traffic and topological conditions.

II. System Model

We consider a fixed wireless network represented by a graph \( G = (\mathcal{N}, \mathcal{L}) \), where \( \mathcal{N} \) is the set of nodes and \( \mathcal{L} \) is the set of directed links. We assume a time-slotted system, where all nodes transmit at the beginning of each time slot. Due to the interference-limited nature of wireless transmissions, the success or failure of a transmission over a link depends on whether an interfering link is also active in the same slot. For ease of exposition, we assume that a successful transmission over any link achieves a unit rate measured in packets per slot.

We use conflict graphs to capture any such collision-based interference in the wireless networks. In a conflict graph \( \mathcal{C}G = (\mathcal{L}, \mathcal{E}) \) of \( G \) under a given interference model, the set of links \( \mathcal{L} \) in \( G \) becomes the set of nodes, and \( \mathcal{E} \) denotes the set of edges that connects links that interfere with each other. In each time slot, we can successfully transmit over nodes in a subset of \( \mathcal{L} \) that form an independent set (i.e., that are not directly connected in \( \mathcal{C}G \)). We call each such independent set as a feasible schedule, and denote it as \( S = (S_l)_{l \in \mathcal{L}} \in \{0, 1\}^{|\mathcal{L}|} \), where \( S_l = 1 \) if link \( l \) is active and \( S_l = 0 \) if link \( l \) is inactive in the schedule. We also treat \( S \) as a set of active links and write \( l \in S \) if \( S_l = 1 \). We further call a feasible schedule as maximal if no more nodes in \( \mathcal{C}G \) can be added without violating the interference constraint. As maximal schedules represent extreme points in the space of feasible schedules, we collect them in the set \( \mathcal{S} \). Then, we can define the capacity region \( \Lambda \) as the convex hull of \( \mathcal{S} \), which will give the upper bound on the achievable link rates in packets per slot that can be supported by the network under stability for the given interference model.

Given the topology and the interference model of a wireless network, we define the scheduling diversity of link \( l \in \mathcal{L} \) as the number of different maximal schedules \( m_l \) that link \( l \) belongs to. Then, for a network topology with a complete \( N \)-partite conflict graph\(^1\), we have \( \max_l m_l \leq 1 \). As another example, a single-hop wireless network where all links interfere with each other, we have \( m_l = 1 \) for all \( l \). Less trivially, a \( 2 \times 2 \) switch has 2-partite conflict graph in which each maximal schedule has only 2 links, and \( m_l = 1 \) for each \( l \). We define the network diameter as the longest distance between two connected nodes in a network topology. Roughly speaking, the scheduling diversity increases as the network diameter increases. Consider a linear topology with \( N \) links. When \( N = 3 \), the scheduling diversity of each link is 1; while the scheduling diversity of each link is at least 2 when \( N \) grows to 6.

In its simplest form, a scheduler determines a maximal feasible schedule \( S[t] \in \mathcal{S} \) at each time slot \( t \). This selection may be influenced by the earlier experiences of each transmitter, and may be performed through a variety of strategies. Here, we are not interested in the means of selecting schedules, but in the eventual selection modeled as a probabilistic function of the state of the network. Before we define the class of randomized schedulers we consider more explicitly, we need to establish the traffic model.

For simplicity\(^2\), we assume a per-link traffic model, where \( A_l[t] \) arrivals occur to link \( l \) in slot \( t \) that are independently distributed over links and identically distributed over time with

\(^1\)In a complete \( N \)-partite conflict graph, the nodes are partitioned into \( N \) sets such that every node in each partition is connected to all the nodes of the conflict graph \( \mathcal{C}G \) which are not contained in that partition.

\(^2\)This assumption can be easily relaxed by utilizing backpressure type routing strategy, which is avoided for unnecessary complications.
mean $\lambda_l$, and $A_l[t] \leq K$ for some $K < \infty$. We assume that the transmitter of each link $l \in \mathcal{L}$ maintains a queue for each of its outgoing links. We let $Q_l[t]$, to denote the queue length of Queue $l$ at time $t$. Recall from above that $S_l[t] | E$ denotes the number of potential departures at time $t$. Further, we let $U_l[t]$ denote the unused service for Queue $l$ in slot $t$. If the queue $l$ is empty and is scheduled, then $U_l[t]$ is equal to 1; otherwise, it is equal to 0. Then, the evolution of the Queue $l$ is described as follows:

$$Q_l[t + 1] = Q_l[t] + A_l[t] - S_l[t] + U_l[t], \quad \forall l \in \mathcal{L}. \quad (1)$$

We say that Queue $l$ is $f$-stable if there exists a non-negative valued, non-decreasing and divergent function $f$ satisfying

$$\limsup_{T \to \infty} \frac{1}{T} \sum_{t=0}^{T-1} \mathbb{E}[f(Q_l[t])] < \infty.$$  

We note that this is an extended form of the more traditional strong stability condition (see [6]) that coincide when $f(x) = x$. Moreover, it is easy to show that $f$-stability implies strong stability when $f$ is also a convex function. We say that the network is $f$-stable if all its queues are $f$-stable. Accordingly, we say that a scheduler is $f$-throughput-optimal if it achieves $f$-stability of the network for any arrival rate vector $\lambda = (\lambda_l)_{l \in \mathcal{L}}$ that lies strictly inside the capacity region $\Lambda$. Again, in the special case of $f(x) = x$, the notion of $f$-throughput-optimality reduces to traditional throughput-optimality, and when $f$ is also convex, $f$-throughput-optimality implies throughput-optimality.

Starting with the seminal work [26], there is a vast literature on the design on throughput-optimal schedulers that utilize queue-length information in the selection of the schedules (see e.g. [6], [23]). Of special interest in this class of throughput-optimal schedulers are those that employ probabilistic assignments (e.g. [25], [14], [15], [8], [19], [4]). This is not only because they model possible errors in the scheduling process, but also because they allow significant flexibilities in the development of low-complexity and distributed implementations. Yet, randomization causes inaccurate operation and may be hurtful if not performed within limitations.

The aim of this work is to identify the limitations of randomization for a wide class of randomized dynamic schedulers that utilize functions of queue-lengths to schedule transmissions. To that end, we identify three classes of randomized schedulers that differ in the operation of the functional forms used in them. Before we describe them, let us define a basic set of functions we consider:

$$\mathcal{F} := \{ f : \mathbb{R}^+ \to \mathbb{R}^+ \} \quad \text{with} \quad \lim_{x \to \infty} f(x) = \infty.$$  

**Definition 1 (RSOF Scheduler):** For a given $f \in \mathcal{F}$ and queue-length vector $\mathbf{Q}$, the Ratio-of-Sum-Of-Functions (RSOF) Scheduler picks a schedule $\mathbf{S} \in \mathcal{S}$ in each time slot such that

$$P_{\mathbf{S}}(\mathbf{Q}) := \frac{\sum_{i \in \mathcal{S}} f(Q_i)}{\sum_{S' : S' \in \mathcal{S}} \sum_{j \in S'} f(Q_j)} \quad (2)$$

We note that the boundedness assumption on the arrival process simplifies the technical arguments, but can be relaxed (see [5]) to the more a common assumption of $\mathbb{E}[A_l(t)] < \infty$.

**Definition 2 (RMOF Scheduler):** For a given $f \in \mathcal{F}$ and queue-length vector $\mathbf{Q}$, the Ratio-of-Multiplication-of-Functions (RMOF) Scheduler picks a schedule $\mathbf{S} \in \mathcal{S}$ in each time slot such that

$$\pi_{\mathbf{S}}(\mathbf{Q}) := \frac{\prod_{i \in \mathcal{S}} f(Q_i)}{\sum_{S' : S' \in \mathcal{S}} \prod_{j \in S'} f(Q_j)} \quad (3)$$

**Definition 3 (RFOS Scheduler):** For a given $f \in \mathcal{F}$ and queue-length vector $\mathbf{Q}$, the Ratio-of-Function-of-Sums (RFOS) Scheduler picks a schedule $\mathbf{S} \in \mathcal{S}$ in each time slot such that

$$\pi_{\mathbf{S}}(\mathbf{Q}) := \frac{f(\sum_{i \in \mathcal{S}} Q_i)}{\sum_{S' : S' \in \mathcal{S}} f(\sum_{j \in S'} Q_j)} \quad (4)$$

Note that all the RSOF, RMOF and RFOS Schedulers are more likely to pick a schedule with the larger queue length, but with different distributions based on their form and the form of $f \in \mathcal{F}$. In particular, the steepness of the function $f$ determines the weight given to the heavily loaded link in both RSOF and RMOF Schedulers and the heavily loaded schedule in the RFOS Scheduler. Also, note that the schedulers coincide for the following choices of $f$: when $f(x) = x$, RSOF and RFOS Schedulers coincide; when $f(x) = e^x$, RMOF and RFOS Schedulers coincide. These three classes cover a wide variety of schedulers including many of existing throughput-optimal schedulers. For example, when $f(x) = e^x$, the RMOS and RFOS Schedulers correspond to the throughput-optimal CSMA policy operating under time-scale separation assumption that attracted a lot of attention lately (see [8], [19], [18]). Yet, they also contain a much wider set of schedulers, one for each $f$.

It is important to understand the variety of functional forms that may achieve throughput-optimality since they are likely to possess differences in their implementation complexity and distributiveness characteristics. Given a stationary distribution, we can construct a Markov Chain that converges to this stationary distribution by Metropolis algorithm [16]. Especially, the RMOF scheduler can be implemented distributively through the Glauber dynamics (e.g. [20], [7]). In what follows, we identify the three classes of functions with varying forms and steepness that turn out to be crucial to our investigation.

**Definition 4:** We consider the following subsets of the space of functions $\mathcal{F}$:

1. $\mathcal{A} := \{ f \in \mathcal{F} : \forall \epsilon > 0, \text{ we have } \lim_{x \to \infty} f(x) = 0 \}$. We call $\mathcal{A}$ as the class of exponential functions.

2. $\mathcal{B} := \{ f \in \mathcal{F} : \lim_{x \to \infty} f(x + a) = 0, \text{ for any } a \in \mathbb{R} \}$. We call $\mathcal{B}$ as the class of sub-exponential functions.

3. $\mathcal{C} := \{ f \in \mathcal{F} : \text{there exist } K_1, K_2 \text{ satisfying } 0 < K_1 \leq K_2 < \infty \text{ such that } K_1(f(x_1) + f(x_2)) \leq f(x_1 + x_2) \leq K_2(f(x_1) + f(x_2)), \text{ for all } x_1, x_2 \geq 0 \}$. We call $\mathcal{C}$ as the class of sub-exponential functions.

The key examples of functions with sets $A, B, C$ and their interrelationship is extensively studied in our technical report [13], and is omitted here due to space limitations. Instead, Figure 1 concisely demonstrates the most critical facts: that $\mathcal{A}$ and $\mathcal{C}$ are non-overlapping classes; while $\mathcal{B}$ has an intersection.
with $\mathcal{A}$. Furthermore, the example functions are provided with
a variety of forms that justify the names assigned to $\mathcal{A}$ and $\mathcal{C}$: the set $\mathcal{A}$ contains rapidly increasing functions generally with
exponential forms; while the set $\mathcal{C}$ contains sub-exponentially
increasing polynomial and logarithmic functional forms. In the
study of necessary and sufficient conditions for throughput-
optimality, we shall find that most of the results depend on
which of these three functional classes the functions belong to.
The next section concisely summarizes these findings.

III. OVERVIEW OF MAIN RESULTS

In this section, we present our main findings and resulting
insights on the throughput-optimality of the RSOF, RMOF and
RFOS Schedulers (see Definitions 1, 2 and 3) with different
functional forms under different network topologies. These
results are rigorously proven in Sections IV and V. To fa-
cilitate a valuable figurative overview, we conceptually order
functions in $\mathcal{F}$ in increasing level of steepness starting from
$f(x) = (\log(x+1))^\alpha$ and $f(x) = x^\alpha$ for any $\alpha > 0$ that belong
to $\mathcal{C}$, followed by $f(x) = \frac{1}{\sqrt{x}} e^{x^\alpha}$ for any $0 < \alpha < 1$ and any
$\beta \geq 0$ that belongs to $\mathcal{B} \cap \mathcal{A}$, and finishing with $f(x) = \frac{1}{\sqrt{x}} e^{x^\alpha}$
for any $\alpha \geq 1$ and $\beta \geq 0$ that belongs to $\mathcal{A}$. In the
orthogonal dimension, we use the scheduling diversity $(m_l)_{l \in \mathcal{L}}$
introduced in Section II to distinguish different topological and
interference scenarios. Recall that since $m_l$ denotes the number
of different maximal schedules that link $l$ belongs to, it is a
rough measure of the multi-hop nature of the network. Then,
the main results for RSOF and RFOS Schedulers are presented in
Figures 2 and 3, respectively. In these figures, besides proven
results, we also include several conjectures that are validated
through simulations in Section VI.

From Figure 2, we see that the RSOF Scheduler with the
function $f \in \mathcal{B}$ is $f$-throughput-optimal when $\max_{l \in \mathcal{L}} m_l \leq 1$.
On the other hand, if $\min_{l \in \mathcal{L}} m_l \geq 2$, the RSOF Scheduler
with any function $f \in \mathcal{F}$ cannot be throughput-optimal. Thus,
roughly speaking, the RSOF Scheduler is non-throughput-
optimal for the network with high scheduling diversity, while
the RSOF Scheduler with the function $f \in \mathcal{B}$ is $f$-throughput-
optimal for low scheduling diversity. We note that although
the throughput performance of RSOF Scheduler with some
exponential functions (i.e. $f(x) = \frac{1}{\sqrt{x}} e^{x^\alpha}$, $\alpha \geq 1$ and $\beta \geq 0$)
is not yet explored when $\max_{l \in \mathcal{L}} m_l \leq 1$, we conjecture
that it is $f$-throughput-optimal in this region, since the RSOF
Scheduler with such functions reacts much more quickly to
the queue length difference between schedules than that with
sub-exponential functions, especially under asymmetric arrival
patterns. We validate this conjecture through simulations in
Section VI. The horizontally unknown region means that we don’t know the throughput performance of randomized schedulers in the topology where some links have scheduling
diversity 1 and other links have scheduling diversity at least 2.
The vertically unknown region means that we don’t explore the
throughput performance of randomized schedulers with other
functional forms which are not in the functional classes $\mathcal{A}$, $\mathcal{B}$
and $\mathcal{C}$.

In Figure 3, we observe that the RFOS Scheduler with the
function $f \in \mathcal{A}$ is throughput-optimal under any network
topology. Also, the RFOS Scheduler with the function $f \in \mathcal{C}$
is $f$-throughput-optimal in single-hop networks where RFOS
and RSOF Schedulers have the same probability distribution
over schedulers. Also, when the function $f$ is linear, the RFOS
Scheduler has the same form with the RSOF and thus is $f$-
throughput-optimal when $\max_{l \in \mathcal{L}} m_l \leq 1$. However, the RFOS
Scheduler with the function $f \in \mathcal{C}$ is not throughput-optimal
when $\min_{l \in \mathcal{L}} m_l \geq 2$. Roughly speaking, the network with
higher scheduling diversity requires much steeper functions
(e.g. exponential functions) for the throughput-optimality of the RFOS Scheduler. While the throughput performance of RFOS Scheduler with the function \( f \in \mathcal{C} \setminus \{ \text{linear functions} \} \) for general network topologies with \( \max_{l \in \mathcal{L}} m_l \leq 1 \) is part of our ongoing work, we conjecture that it is \( f \)-throughput-optimal in those topologies since both RFOS and RSOF Schedulers with sub-exponential functions have almost the same reaction speed to the queue length difference between schedules. We also validate this conjecture via simulations in Section VI.

The RMOF Scheduler with the function \( f \) satisfying \( \log f \in \mathcal{B} \) and \( f(0) \geq 1 \) is \( (\log f) \)-throughput-optimal under any network topology. This result together with the RFOS Scheduler with the function \( f \in \mathcal{A} \) extends the throughput-optimality of CSMA schedulers (e.g. [8], [18]) to a wider class of functional forms. While this result proves a weaker form of throughput-optimality than \( f \)-throughput-optimality for the RMOF Scheduler, we note that RMOF Scheduler generally outperforms RFOS and RSOF Schedulers in our numerical investigations. Hence, we leave it to future research to strengthen this result.

Collectively these results not only highlight the strengths and weaknesses of the three functional randomized schedulers, they also reveal the interrelation between the steepness of the functions and the scheduling diversity of the underlying wireless networks. Hence, this extensive understanding of the limitations of randomization will allow the network designers use or avoid certain types of probabilistic scheduling strategies depending on the topological characteristics of the network.

IV. SUFFICIENT CONDITIONS

In this section, we study the sufficient conditions on the topology characteristics and the functions used in RSOF, RMOF and RFOS Schedulers to achieve throughput-optimality.

A. \( f \)-Throughput-Optimality of RSOF Scheduler

We study the throughput performance of the RSOF Scheduler for a network topology with \( \max_{l \in \mathcal{L}} m_l \leq 1 \). In such a network, each link only belongs to one maximal schedule.

Lemma 1: If \( \sum_{i=1}^{N} \lambda_i^l < 1 \), \( \lambda_i^l > 0 \) and \( a_i^l \geq 0 \), for \( i = 1, ..., N, l_i = 1, ..., n_i \), then there exist a \( \delta > 0 \) such that

\[
\sum_{l=1}^{N} \left( \sum_{i=1}^{N} a_i^l \right) > \sum_{l=1}^{N} a_i^l (1 + \delta)
\]

(5)

Proof: See the Appendix of our technical report [13] for the proof.

Theorem 1: In a network topology with \( \max_{l \in \mathcal{L}} m_l \leq 1 \), the RSOF Scheduler with the function \( f \in \mathcal{B} \) is \( f \)-throughput-optimal.

Proof: Without loss of generality, we assume that there are only \( N \) available maximal schedules \( S^l \) \( (i = 1, ..., N) \). Since each link belongs to one maximal schedule, we can denote the queues, arrivals, and scheduling statistics in terms of maximal schedules for easier exposition. To that end, we let \( Q_i^l \), \( \lambda_i^l \) and \( P_i^l \) \( (i = 1, ..., N, l = 1, ..., |S^l|) \) denote the queue-length of link \( l \in S^l \), the average arrival rate for the link \( l \in S^l \) and probability of serving the link \( l \in S^l \), respectively. In addition, \( A_i^l[t], S_i^l[t] \) and \( U_i^l[t] \) denote the number of arrivals to link \( l \in S^l \) at time slot \( t \), the number of potential departures of link \( l \in S^l \) in slot \( t \) and the unused service for link \( l \in S^l \) at time slot \( t \), respectively. The capacity region for such network is

\[
C_N := \{ \lambda : \sum_{i=1}^{N} A_{i}^l < 1, \forall i = 1, ..., N, l_i = 1, ..., |S^l| \}
\]

(6)

Under the above notation, the RSOF Scheduler becomes:

\[
P_{S^l} = \frac{\sum_{i=1}^{N} S_i^l(t)}{\sum_{i=1}^{N} S_i^l(t)}, i = 1, ..., N.
\]

(7)

Note that \( P_i^l = P_{S_l} \), for \( i = 1, ..., N, l = 1, ..., |S^l| \). If \( \lambda_i^l = 0 \) for some \( i \) and \( l \), then no arrivals occur in the link \( l \in S^l \). Thus, we don’t need to consider such links. Follows we assume \( \lambda_i^l > 0 \) \((i = 1, ..., N, l = 1, ..., |S^l|)\). Consider the Lyapunov function \( V(Q) := \sum_{i=1}^{N} \sum_{t=1}^{T} h(Q_i^l[t]) \), where \( h'(x) = f(x) \).

Then

\[
\Delta V := E[V(Q[t+1]) - V(Q[t])]Q[t] = Q
\]

\[
= \sum_{i=1}^{N} \sum_{t=1}^{T} E \left[ \frac{1}{\lambda_i^l} (h(Q_i^l[t+1]) - h(Q_i^l[t])) \right] Q[t] = Q
\]

By the mean-value theorem, we have \( h(Q_i^l[t+1]) - h(Q_i^l[t]) = f(R_i^l[t])(Q_i^l[t+1] - Q_i^l[t]) = f(R_i^l[t])(A_i^l[t] - S_i^l[t] + U_i^l[t]), \) where \( R_i^l[t] \) lies between \( Q_i^l[t] \) and \( Q_i^l[t+1] \). Hence, we get

\[
\Delta V = \sum_{i=1}^{N} \sum_{t=1}^{T} E \left[ \frac{1}{\lambda_i^l} f(R_i^l[t])(A_i^l[t] - S_i^l[t] + U_i^l[t]) \right] Q[t] = Q
\]

\[
= \sum_{i=1}^{N} \sum_{t=1}^{T} E \left[ \frac{1}{\lambda_i^l} f(R_i^l[t])U_i^l[t]Q[t] = Q \right] +
\]

\[
= \Delta V_1 + \Delta V_2
\]

For \( \Delta V_1 \), if \( Q_i^l[t] = Q_i^l > 0 \), then \( U_i^l[t] = 0 \). If \( Q_i^l[t] = Q_i^l = 0 \), then \( U_i^l[t] \) may be equal to 1. But in this case, \( Q_i^l[t+1] \leq K \) (since \( A_i^l[t] \leq K \)). Hence, \( f(R_i^l[t]) \leq f(K) \). Thus,

\[
\Delta V_1 = \sum_{i=1}^{N} \sum_{t=1}^{T} E \left[ \frac{1}{\lambda_i^l} f(R_i^l[t])U_i^l[t]Q[t] = Q \right] 1_{Q_i^l=0}
\]

\[
\leq \sum_{i=1}^{N} \sum_{t=1}^{T} E \left[ \frac{1}{\lambda_i^l} f(K) \right] \leq D \sum_{i=1}^{N} \sum_{t=1}^{T} E \left[ f(K) \right]
\]

(8)

Where \( D := \frac{1}{\min_{l} \lambda_i^l} \leq \infty \) and \( 1_{\cdot} \) is the indicator function.

Next, let’s focus on \( \Delta V_2 \). We know that \( f(R_i^l[t]) = f(Q_i^l[t]) + a_i^l \) \((a_i^l \leq K)\). According to the definition of function \( f \in \mathcal{B} \), given \( \epsilon > 0 \), there exists \( M > 0 \), such that for any \( Q_i^l[t] =
Thus, we have

\[
(1 - \epsilon) f(Q_i^t) < f(R_i^t[t]) < (1 + \epsilon) f(Q_i^t)
\]

(9)

Hence,

\[
\sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} 1_n f(Q_i^t)(\lambda_i^l - P_i^t) \mathbf{1}_{\{Q_i^t > M\}} < -\delta \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(Q_i^t) \mathbf{1}_{\{Q_i^t > M\}} - \delta \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(Q_i^t) \mathbf{1}_{\{Q_i^t \geq M\}} - \\
\sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(Q_i^t)(\lambda_i^l - P_i^t) \mathbf{1}_{\{Q_i^t \leq M\}} < -\delta \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(Q_i^t) \mathbf{1}_{\{Q_i^t > M\}} + \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} \frac{1}{\lambda_i^l} f(Q_i^t) P_i^t \mathbf{1}_{\{Q_i^t \leq M\}}
\]

(10)

Thus, we can choose \( \epsilon \) small enough such that \( \gamma = \delta - DK \epsilon > 0 \) and thus we have

\[
\Delta V_5 < -\gamma \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(Q_i^t) \mathbf{1}_{\{Q_i^t > M\}} + \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(M)
\]

For \( \Delta V_4 \), we have

\[
\Delta V_4 \leq \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} \frac{1}{\lambda_i^l} f(Q_i^t)(\lambda_i^l - P_i^t) \mathbf{1}_{\{Q_i^t > M\}}
\]

\[
\leq \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(Q_i^t)(\lambda_i^l - P_i^t) \mathbf{1}_{\{Q_i^t > M\}} + DK \epsilon \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(Q_i^t) \mathbf{1}_{\{Q_i^t \geq M\}}
\]

Thus, we get

\[
\Delta V < -\gamma \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(Q_i^t) \mathbf{1}_{\{Q_i^t > M\}} + D \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(M) + \\
DK \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(M + K) + D \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(K)
\]

\[
= -\gamma \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(Q_i^t) \mathbf{1}_{\{Q_i^t > M\}} + C
\]

(13)

where \( C := D \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(M) + DK \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(M + K) + D \sum_{i=1}^{N} \sum_{l=1}^{\vert S_i^t \vert} f(K) < \infty \). By Foster-Lyapunov theorem [1], equation (13) implies \( f \)-throughput-optimality of the RSOF Scheduler for any \( f \in B \).

\[\blacksquare\]

**B. Throughput-Optimality of RMOF and RFOS Schedulers**

In this subsection, we investigate the sufficient condition for the throughput-optimality of RMOF and RFOS Schedulers.

**Theorem 2:** (i) The RMOF Scheduler with the function \( f \in F \) satisfying \( \log f \in B \) and \( f(0) \geq 1 \) is \( (\log f) \)-throughput-optimal under any network topology;

(ii) The RFOS Scheduler with the function \( f \in A \) is throughput-optimal under any network topology.
Proof: To prove this, we need the theorem stated in [5]: For a scheduling algorithm, if given any $0 \leq \epsilon, \delta < 1$, there exists a $M > 0$ such that the scheduling algorithm satisfies the following condition: in any time slot $t$, with probability greater than $1 - \delta$, the scheduling algorithm chooses a schedule $x(t) \in S$ that satisfies:

$$\sum_{t \in I(t)} w(Q(t)) \geq (1 - \epsilon) \max_{x \in S} \sum_{t \in I} w(Q(t))$$

(14)

whenever $\parallel Q(t) \parallel > M$, where $Q(t) := (Q(t) : l \in L)$ and $w \in B$. Then the scheduling algorithm is $w$-throughput-optimal.

(i) Given any $\epsilon$ and $\delta$ such that $0 \leq \epsilon, \delta < 1$.

Let $W^* := \max_{x \in S} \sum_{t \in I} \log f(Q(t))$ and $x^* := \arg \max_{x \in S} \sum_{t \in I} \log f(Q(t))$. Let

$$X := \{ x \in S : \sum_{t \in I} \log f(Q(t)) < (1 - \epsilon)W^* \}$$

(15)

Then

$$v(X) = \sum_{x \in S} v_{x} = \sum_{x \in S} \sum_{t \in I} \frac{\exp[\sum_{x \in S} \log f(Q(t))]}{\Pi_{x \in S} f(Q(t))}$$

$$\sum_{x \in S} \sum_{t \in I} \exp \frac{\sum_{x \in S} \log f(Q(t))}{\Pi_{x \in S} f(Q(t))} \leq \sum_{x \in S} \exp \frac{\sum_{t \in I} \log f(Q(t))}{W^*}$$

Since $\sum_{x \in S} \exp \left[ \sum_{t \in I} \log f(Q(t)) \right] \geq \exp(W^*)$, then we have

$$v(X) < \frac{|X| \exp[\sum_{x \in S} \log f(Q(t))]}{\exp(W^*)} = \frac{|X|}{\exp(W^*)}$$

(16)

Thus if some queue lengths increase to infinity, then $W^* \to \infty$ and thus we have $v(X) \to 0$. Hence the RMOF Scheduler with the function $\log f \in B$ is $log f$-throughput-optimal under any topology.

(ii) Given any $\epsilon$ and $\delta$ such that $0 \leq \epsilon, \delta < 1$. Let $Q^*(t) := \max_{x \in S} \sum_{t \in I} Q(t)$ and $x^* := \arg \max_{x \in S} \sum_{t \in I} Q(t)$. Let $X := \{ x \in S : \sum_{t \in I} Q(t) < (1 - \epsilon)Q^*(t) \}$. Then using the similar technique as in (i), we can prove that RFSO Scheduler with the function $f \in A$ is throughput-optimal under any topology. 

V. NECESSARY CONDITIONS

We have shown that the RSOF Scheduler with the function $f \in \mathbf{B}$ is $f$-throughput-optimal in the network topology with $\max_{x \in S} m_{l} \leq 1$, the RMOF Scheduler with the function $\log f \in B$ ($f(0) \geq 1$) is $(\log f)$-throughput-optimal in general network topologies and the RFSO Scheduler with the function $f \in A$ is throughput-optimal under arbitrary network topologies. However, the next result establishes that in network topologies where each link belongs to two or more schedules (i.e. when $\min_{x \in S} m_{l} \geq 2$), the RSOF Scheduler with any function $f \in \mathbf{F}$ and RFSO Scheduler with the function $f \in \mathbf{C}$ cannot be throughput-optimal.

**Theorem 3:** If the network is such that $\min_{x \in S} m_{l} \geq 2$, then

(i) RSOF Scheduler is not throughput-optimal for any $f \in \mathbf{F}$; (ii) RFSO Scheduler is not throughput-optimal for any $f \in \mathbf{C}$.

Proof: We prove these claims constructively by considering an arrival process that is inside the capacity region, but is not supportable by the randomized schedulers for the given functional forms. To that end, let us consider any maximal schedule $S_{0} \in \mathbf{S}$ and index its links as $\{1, 2, \ldots , n\}$ for convenience. We assume that arrivals only happen to those $n$ links at rates $\lambda_{1}, \ldots , \lambda_{n}$ with the constraint that $\lambda_{i} \in [0, 1]$ for all $i = 1, \ldots , n$, which is clearly supportable by a simple scheduling policy that always serves the schedule $S_{0}$. Thus, setting $\lambda_{i}$ arbitrarily close to one for each $i$, this simple policy can achieve a sum rate of $\sum_{i=1}^{n} \lambda_{i} < n$.

We define $\mathbf{M} = \{ S \in \mathbf{S} : \mathbf{S} \cap S_{0} \neq \emptyset \}$, $\mathbf{K} = \{ S \in \mathbf{S} : \mathbf{S} \cap S_{0} = \emptyset \}$, $\mathbf{H} = \{ S \in \mathbf{M} : S \neq S_{0} \}$ and $\mathbf{T} = \{ S \in \mathbf{S} : S \neq S_{0} \}$. In the rest of the proof, we use $| \cdot |$ denotes the cardinality of the set and $A \cap B$ denotes the intersection of $A$ and $B$.

Given this construction, we next prove the following statements for the RSOF and RFSO Schedulers respectively:

(1) If $\sum_{i=1}^{n} \lambda_{i} \geq n - \frac{1}{2}$, the RSOF Scheduler with any function $f \in \mathbf{F}$ is unstable.

(2) If $\sum_{i=1}^{n} \lambda_{i} \geq n - \frac{1}{2}$, where $K_{1} \leq K_{2}$, are positive constants described in Definition 4(C). However, the RFSO Scheduler with the associated function $f \in \mathbf{C}$ is unstable.

Since the aforementioned simple scheduler can stabilize the sum rate $\sum_{i=1}^{n} \lambda_{i} < n$, the RSOF Scheduler with any function $f \in \mathbf{F}$ and RFSO Scheduler with the associated function $f \in \mathbf{C}$ are not throughput-optimal. We next prove these claims that complete the proof of Theorem 3.

(1) Under the above model, the RSOF Scheduler becomes

$$P_{3} = \frac{\sum_{l \in S_{0}} f(Q(t) + |S \setminus S_{0}| f(0))}{\sum_{S^{'}, S^{'}} f(Q(t)) + |S^{'}, S^{'}, S_{0}| f(0))}$$

Let $P_{1}$ denote the probability that link $l \in S_{0}$ is served, then

$$\sum_{l=1}^{n} P_{1} = \sum_{l=1}^{n} \sum_{S \in M_{l} \cap S_{0}} P_{3}$$

$$= \sum_{l=1}^{n} \sum_{S \in M_{l} \cap S_{0}} f(Q(t) + |S \setminus S_{0}| f(0))$$

$$= \sum_{S \in S_{0}} \sum_{l=1}^{n} \sum_{S \in M_{l} \cap S_{0}} |S \setminus S_{0}| f(0))$$

Since $\sum_{S \in S_{0}} \sum_{l=1}^{n} f(Q(t)) = \sum_{l=1}^{n} f(Q(t)) \sum_{S \in S_{0}} |S \setminus S_{0}| f(0))$ and

$$\sum_{l=1}^{n} f(Q(t)) \sum_{S \in S_{0}} |S \setminus S_{0}| f(0))$$

we have

$$\sum_{l=1}^{n} f(Q(t)) \sum_{S \in S_{0}} (|S \setminus S_{0}| + |S \setminus S_{0}|) f(0))$$

$$= \sum_{l=1}^{n} f(Q(t)) \sum_{S \in S_{0}} (|S \setminus S_{0}| + |S \setminus S_{0}|) f(0))$$

$$= n - \sum_{l=1}^{n} f(Q(t)) \sum_{S \in S_{0}} (|S \setminus S_{0}| + |S \setminus S_{0}|) f(0))$$

Note that $|S_{0}| \leq n - 1$, for $\forall H \in H$ and $|T_{S_{0}}| \leq n - 1$, for $\forall T \in T$. If $m_{l} = \sum_{S \in S_{0}, S_{1}} \geq 2, \forall l \in S_{0}$, that is,
\[ \sum_{H \in \mathcal{H}: \ell \in \mathcal{H}_0} f(\ell) \geq 1, \forall \ell \in \mathcal{S}_0, \text{ then } \]
\[ \sum_{i=1}^{n} P_i \leq n - \frac{1}{2} \]

Consider the Lyapunov function \( L(Q) := \sum_{i=1}^{n} Q_i \), then
\[ \Delta L := \mathbb{E}[L(Q(t + 1))] - L(Q(t))\mid Q(t) = Q \]
\[ = \sum_{i=1}^{n} \mathbb{E}(Q_i[t + 1] - Q_i[t] + U_i[t]) = \sum_{i=1}^{n} \lambda_i - \sum_{i=1}^{n} P_i \]

For the topologies that each link belongs to two or more schedules, that is, \( m_i = \sum_{s \in S_i \subseteq s} 1 \geq 2, \forall s \in \mathcal{S}_0 \), if \( \sum_{i=1}^{n} \lambda_i \geq n - \frac{1}{2} \) then \( \Delta L \geq 0 \) for any \( Q \). Hence, by the Theorem 20 of [11], the RSOF Scheduler is unstable if \( \sum_{i=1}^{n} \lambda_i \geq n - \frac{1}{2} \) under the topologies that \( m_i = \sum_{s \in S_i \subseteq s} 1 \geq 2, \forall s \in \mathcal{S}_0 \).

VI. Simulation Results

In this section, we perform numerical studies to validate the throughput performance of proposed randomized schedulers with different functions in 2 \( \times \) 2 and 3 \( \times \) 3 switch topologies. In a 2 \( \times \) 2 switch, the scheduling diversity of each link is 1 and thus all proposed randomized schedulers are proven to be throughput-optimal. In a 3 \( \times \) 3 switch, the scheduling diversity of each link is 2. Thus, in 3 \( \times \) 3 switch, RSOF Scheduler needs to carefully choose the functional form to preserve the throughput optimality while RSOF Scheduler with any function \( f \in \mathcal{F} \).

In a 2 \( \times \) 2 switch, we consider arrival rate \( \lambda = \rho H \), where \( H = [H_{ij}] \) is a doubly-stochastic matrix with \( H_{ij} \), denoting the fraction of the total rate from input port \( i \) that is destined to output port \( j \). Then, \( \rho \in (0, 1) \) represents the average arrival intensity, where the larger the \( \rho \), the more heavily loaded the switch is. Due to limited space, we present two cases: symmetric arrival process (\( H_1 = [0.5 0.5; 0.5 0.5] \)) and asymmetric arrival process (\( H_2 = [0.1 0.9; 0.9 0.1] \)) under high arrival intensity \( \rho = 0.99 \). The evolution of average queue length per link over time for different schedulers with different functions are shown in figures 4 and 5.

From Figures 4 and 5, we can observe that all randomized schedulers can stabilize the system under symmetric and asymmetric arrival traffics. So, there is a wide class of choices under which the randomized scheduling can guarantee the throughput performance in the 2 \( \times \) 2 switch. In addition, we can see that the RSOF Scheduler with exponential function and RFOS Scheduler with square function are also stable in both symmetric and asymmetric arrival processes, which support our conjecture in Section III that RSOF Scheduler with the function \( f \in \mathcal{F} \) and RSOF Scheduler with the function \( f \in \mathcal{B} \) are throughput optimal in network topologies with \( \max \lambda_i = 1 \).

In a 3 \( \times \) 3 switch, we consider arrival rate \( \lambda = [0.95 0 0; 0 0.95 0; 0 0 0.95] \), where the RSOF with any function \( f \in \mathcal{F} \) and RSOF Scheduler with any function \( f \in \mathcal{C} \) cannot stabilize. The evolution of average queue length per link over time for different schedulers with different functions are shown in figures 6. From Figure 6, we can observe that the average queue lengths of RSOF Schedulers with linear function, square function and even exponential function increase very fast, which validates our theoretical result that RSOF Scheduler with any function \( f \in \mathcal{F} \) cannot be throughput-optimal in network topologies with \( \max \lambda_i \geq 2 \). In addition, we can see that the average queue lengths of RFSOF Schedulers with linear function and square function grow quickly while the RSOF Scheduler with exponential function always keeps low queue length level, which demonstrates that the steepness of functional form needs to be high enough for RSOF Scheduler to keep throughput optimality in general network topologies. Even though our result indicates that RMOF Scheduler with
any function \( \log f \in B \) and \( f(0) \geq 1 \) is (log \( f \))-throughput-optimal in general network topologies, we can see that RMOF Scheduler is still stable even with the linear function. This validates that our conjecture that RMOF Scheduler with any function \( f \in \mathcal{F} \) can be \( f \)-throughput-optimal in general network topologies.

VII. CONCLUSIONS

We explored the limitations of randomization in the throughput-optimal scheduler design in a generic framework under the time-scale separation assumption. We identified three important functional forms of queue-length-based schedulers that covers a vast number of dynamic schedulers of interest. These forms differ fundamentally in whether they work with the queue-length of individual links or whole schedules.

For all of these functional forms, we established necessary and sufficient conditions on the network topology and the functional forms for their throughput-optimality. We also provided numerical results to validate our theoretical results and conjectures, which will be further studied in our future work.

REFERENCES


